

37329

#### CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: December 16, 2005 Name: Terry Wand

Signature: Harry Wa

BRINKS HOFER GILSON &LIONE

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Greenberg, et al.

\In re Appin. of:

Appln. No.:

10/003,011

Filed:

November 1, 2001

For:

Sir:

MEDICAL GRASPING DEVICE

Attorney Docket No:

8627-213 (PA-5270-RFB)

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL

Examiner: Pedro Philogene

Art Unit: 3732

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Supplemental Information Disclosure Statement; 4 Sheets of Form PTO-1449 and 5 Required References.

Return Receipt Postcard

#### Fee calculation:

☐ No additional fee is required.

☐ Small Entity.

An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).

A petition or processing fee in an amount of \$\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).

An additional filing fee has been calculated as shown below:

					Sn	nall Entity		Not a S	mall Entity
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25	=		x \$50=	
Indep.		Minus			x 100	=		x \$200=	
First Pre	esentation of Multiple D	ep. Claim			+\$180	=		+ \$360=	
					Tota	al \$		Total	\$

#### Fee payment:

A check in the amount of \$\\$ is enclosed.

Please charge Deposit Account No. 23-1925 in the amount of \$180.00. A copy of this Transmittal is enclosed for this purpose.

Payment by credit card in the amount of \$\_\_\_\_ (Form PTO-2038 is attached).

The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

December 16, 2005

Date

Robert K. Fergan (Reg. No. 51,674)



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: GREENBERG ET AL.

Appln. No.: 10/003,011

Filed: November 1, 2001

For: MEDICAL GRASPING DEVICE

Attorney Docket No: 8627-213

Examiner: Pedro Philogene

Art Unit: 3732

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(c), Applicants hereby cite the following reference(s):

No.	Date of Publication	Patentee/Applicant/Assignee
2,856,933	01/1958	Hildebrand, et al.
4,046,149	09/1977	Komiya
4,256,113	03/17/1981	Chamness
4,315,509	02/1982	Smit
4,493,319	01/1985	Polk, et al.
4,512,338	04/1985	Balko, et al.
4,590,938	05/1986	Segura, et al.
4,741,335	05/1988	Okada
5,057,114	10/1991	Wittich
5,163,942	11/1992	Rydell
5,290,294	03/1994	Cox, et al.
5,320,627	06/1994	Sorensen, et al.
5,330,484	07/19/1994	Gunther et al.
5,464,408	11/1995	Duc
5,562,678	10/1996	Booker
5,597,378	01/1997	Jervis
5,626,605	05/1997	Irie, et al.
5,906,622	05/1999	Lippitt, et al.
5,944,728	08/1999	Bates
5,947,978	09/1999	Holsinger
6,015,415	01/2000	Avellanet
6,099,534	08/2000	Bates, et al.
6,156,055	12/2000	Ravenscroft
6,162,209	12/2000	Gobron, et al.

0.400.400		
6,183,482	02/2001	Bates, et al.
6,187,016	02/2001	Hedges, et al.
6,241,738	06/2001	Dereume
6,245,087	06/2001	Addis
6,280,451	08/2001	Bates, et al.
6,280,464	08/2001	Hayashi
2001/0021842	09/2001	Ouchi
2001/0031970	10/2001	Heuser, et al.
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2002/0068954	06/2002	Foster
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6,491,698	12/2002	Bates, et al.
2002/9193824	12/2002	Boylan, et al.
6,494,895	12/2002	Addis
6,500,182	12/2002	Foster
6,517,550	02/2003	Konya, et al.
6,520,968	02/2003	Bates, et al.
6,554,842	04/2003	Heuser, et al.
6,558,404	05/2003	Tsukernik
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0033909	06/2000	WIPO
724776	01/1932	France
2,540,818	1976	Germany
3,029,064	02/1982	Germany
3,084,849	09/1988	Germany
0,007,070	1 03/1300	Gennally

Applicant is enclosing Form PTO-1449 (four sheets), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). The non-English reference included shows a grasping device with a wire loop for medical applications.

Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated a processing fee in the amount of \$180.00 to be due under 37 C.F.R. §1.17(p) in connection with the filing of this Statement. Applicant has enclosed a check covering this fee, or authorized charging the fee to a deposit account or credit card, as indicated in the Transmittal accompanying this Statement.

Respectfully submitted,

December 16, 2005

Date

Robert K. Fergan (Reg. No.51.674)



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5,626,605

FORM PTO-1449	10	APPLICATION NO.	CACENO	
			CASE NO.	0007.040
LICT OF DATENTS	AND PUBLICATIONS FOR	10/003,011		8627-213
			ART UNIT	
	ORMATION DISCLOSURE	November 1, 2001		3732
SI.	ATEMENT			
		APPLICANT(S): GREENBERG	3 et al	

EXAMINER	DOCUMENT			CLASS/	FILING
INITIAL	NUMBER	DATE	NAME	SUBCLASS	DATE
	2,856,933	01/1958	Hildebrand, et al.		
	4,046,149	09/1977	Komiya		
	4,256,113	03/17/1981	Chamness		<del>-</del>
	4,315,509	02/1982	Smit		
	4,493,319	01/1985	Polk, et al.		
	4,512,338	04/1985	Balko, et al.		<del></del>
	4,590,938	05/1986	Segura, et al.		
	4,741,335	05/1988	Okada		
	5,057,114	10/1991	Wittich		
	5,163,942	11/1992	Rydell		
	5,290,294	03/1994	Cox, et al.		<del></del>
	5,320,627	06/1994	Sorensen, et al.		N8
	5,330,484	07/19/1994	Gunther et al.		
	5,464,408	11/1995	Duc		
	5,562,678	10/1996	Booker		
	5,597,378	01/1997	Jervis		

#### FOREIGN PATENT DOCUMENTS

Irie, et al.

05/1997

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANS YES	LATION NO
	0033909	06/2000	WIPO			
	724776	01/1932	France			
	2,540,818	1976	Germany			
	3,029,064	02/1982	Germany			<u> </u>
	3,804,849	09/1988	Germany			<u> </u>

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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EXAMINER	DATE CONSIDERED

FORM PTO-1449	APPLICATION NO.	CASE NO.	
	10/003,011		8627-213
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE November 1, 2001	ART UNIT	3732
	APPLICANT(S): GREENBERG	S, et al.	

REFERENCE DESIGNATION

H	I S	PA	TENT	DOC	184EA	2TL
u					J1816-1	

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	5,906,622	05/1999	Lippitt, et al.		-
	5,944,728	08/1999	Bates		
	5,947,978	09/1999	Holsinger		, ,
	6,015,415	01/2000	Avellanet		
	6,099,534	08/2000	Bates, et al.		
	6,156,055	12/2000	Ravenscroft		
	6,162,209	12/2000	Gobron, et al.		
	6,183,482	02/2001	Bates, et al.		-
	6,187,016	02/2001	Hedges, et al.		
	6,241,738	06/2001	Dereume		
	6,245,087	06/2001	Addis		
	6,280,451	08/2001	Bates, et al.		
	6,280,464	08/2001	Hayashi		
	2001/0021842	09/2001	Ouchi		
	2001/0031970	10/2001	Heuser, et al.		
	6,325,807	12/2001	Que		

#### FOREIGN PATENT DOCUMENTS

EXAMINER	DOCUMENT			CLASS/	TRANSLATION	
INITIAL	NUMBER	DATE	COUNTRY	SUBCLASS	YES	МО
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EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	APPLICATION NO.	CASE NO.	
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	APPLICANT(S): GREENBERG	et al.	

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	6,342,062	01/2002	Suon, et al.		
	2002/0022837	02/2002	Mazzocchi, et al.		
	2002/0026202	02/2002	Honey, et al.		
	2002/0026203	02/2002	Bates, et al.		
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	2002/0068954	06/2002	Foster		
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	2002/0087186	07/2002	Shelso		
	2002/0095174	07/2002	Tsugita, et al.		
	2002/0120277	08/2002	Hauschild, et al.		
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	2002/9193824	12/2002	Boylan, et al.		
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	6,500,182	12/2002	Foster		

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EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)
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	APPLICANT(S): GREENBERG	etal	

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	6,554,842	04/2003	Heuser, et al.		
	6,558,404	05/2003	Tsukernik		
	6,569,181	05/2003	Burns		****
	2003/0109874	06/2003	Dennis		***
	2003/0109889	06/2003	Mercereau, et al.		· · · · · · · · · · · · · · · · · · ·
	2003/0125793	07/2003	Vessely		· · · · · · · · · · · · · · · · · · ·
	2003/0135222	07/2003	Baska		· · · · · · · · · · · · · · · · · · ·
	2003/0158518	08/2003	Schonholz, et al.		
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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
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		<del>                                     </del>			

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Rev. Feb.-97